


TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER KOIKE-01400
INTERNATIONAL APPLICATION NO. PCT/JP04/014372		INTERNATIONAL FILING DATE 30 September 2004
PRIORITY DATE CLAIMED 30 SEPTEMBER 2003		U.S. APPLICATION NO. (If known, see 37 CFR 1.51) <div style="font-size: 1.5em; font-weight: bold;">80/574409</div>
TITLE OF INVENTION ILLUMINATION DEVICE		
APPLICANT(S) FOR DO/EO/US Toshimi Hirata		
CERTIFICATION UNDER 37 CFR § 1.10		
I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, <u>March 30, 2006</u> , in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number <u>EV811945621US</u> addressed to: Mail Stop PCT , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		
_____ Nicole Walsh (Name of Person Mailing Paper)		 _____ Signature
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau) b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 		
6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) combined with Power of Attorney (Unexecuted)		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMD control number.

Items 11 to 20 below concern document(s) or information:

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11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, including Form 1449 and ten (10) references.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney combined with Declaration (Unexecuted)
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 -1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4)
19. ☐ A second copy of the English language translation of the International Application Under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

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21. <input checked="" type="checkbox"/> Basic national fee.....				\$300	CALCULATIONS PTO USE ONLY \$300.00 \$200.00 \$400.00
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by the USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....				\$100	
All other situations.....				\$200	
23. <input checked="" type="checkbox"/> Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority.				\$100	
International Search Report prepared and provided to the Office.....				\$400	\$400.00
All other situations.....				\$500	
Total of 21, 22 and 23 =					
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$900.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	10 - 20 =	0	X \$50.00	\$ 0.00	
Independent claims	1 - 3 =	0	X \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIMS (S) (if applicable)			+ 290	\$.00	
TOTAL OF ABOVE CALCULATIONS =				\$900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/3				\$	
SUBTOTAL =				\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$.00	
TOTAL NATIONAL FEE =				\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property				\$.00	
TOTAL FEES ENCLOSED =				\$ 900.00	
				Amount to be refunded	\$
				Amount to be charged	\$

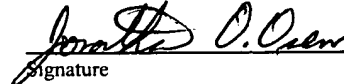
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMD control number.

- a. X A check in the amount of \$ 900.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. 08-1275 in the amount of \$ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1275. A duplicate copy of this sheet is enclosed.
- d. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

HAVERSTOCK & OWENS LLP
162 North Wolfe Road
Sunnyvale, CA 94088
Customer No.: 28960


Signature
Jonathan O. Owens
Reg. No.: 37,902